

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: HLG et al.

Patent No.: 6,808,914

Application No.: 10/024,370

Issued: October 26, 2004

Filed: December 21, 2001

Title: PROCESS FOR THE PREPARATION OF L-AMINO ACIDS BY FERMENTATION  
AND NUCLEOTIDE SEQUENCES CODING FOR THE ACCDA GENE

**REQUEST PURSUANT TO 37 C.F.R. §3.81(b) TO ADD AN ASSIGNEE AFTER  
PAYMENT OF THE ISSUE FEE VIA CERTIFICATE OF CORRECTION**

ATTN: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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OFFICE OF PETITIONS

Sir:

The patentees respectfully request that a Certificate of Correction be granted to add an assignee after the payment of the issue fee in connection with the above-identified patent, wherein the omission of the assignee's name was the applicant's mistake. A Form PTO/SB/44 is attached, showing the necessary correction to be made. Specifically, it is requested that "Forschungszentrum" be added as an assignee. The proposed correction does not constitute new matter or requires reexamination.

Pursuant to 37 C.F.R. § 3.81(b), any request for a patent to be corrected to state the name of the assignee after the payment of the issue fee must state that the assignment was submitted for recordation before the issuance of the patent. As evidenced by the enclosed copy of the "Notice of Recordation of Assignment Document," Application No. 10/024,370 (now U.S. Patent No. 6,808,914) was originally assigned to Degussa and Forschungszentrum in an assignment recorded on August 30, 2002, at Reel No. 013256, Frame No. 0892 (a copy of which is enclosed). Accordingly, the assignees at the time of issuance of U.S. Patent No. 6,808,914 was Degussa and Forschungszentrum. The patentees respectfully request entry of the enclosed Certificate of Correction to correct this inadvertent error.

Because the above noted error was due to the patentees' mistake, a fee transmittal authorizing payment of the post-issuance fee of \$100.00 (37 C.F.R. §1.20(a)) and processing

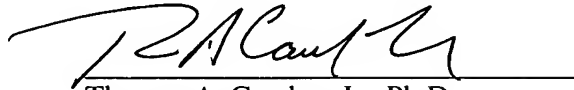
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01/06/2006 HALI11 00000027 033975 6808914

01 FC:1811 100.00 DA  
02 FC:1464 130.00 DA

fee of \$130.00 (37 C.F.R. §1.17(i)) is enclosed. Although the patentees believe no additional fee is due for the processing of this request, should the Patent Office determine otherwise, such fees may be charged to Deposit Account No. 03-3975 under Order No. 021123-0284139.

Respectfully submitted,  
PILLSBURY WINTHROP SHAW PITTMAN LLP

A handwritten signature in black ink, appearing to read 'T.A. Cawley, Jr.', is written over a horizontal line.

Thomas A. Cawley, Jr., Ph.D.  
Reg. No. 40,944

January 4, 2006

P.O. Box 10500  
McLean, VA 22102  
Tel. No. (703) 770-7900  
Fax No. (703) 770-7901

TAC/JCL

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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,808,914  
DATED : October 26, 2004  
INVENTOR(s) : TILG et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the face of the patent, please replace paragraph (73) with the following paragraph.

-- (73) Assignees: **Degussa AG**, Dusseldorf (DE) and **Forschungszentrum**, Jülich (DE) --

MAILING ADDRESS OF SENDER

PATENT NO. 6,808,914

Thomas A. Cawley, Jr., Ph.D.  
Reg. No. 40,944  
Pillsbury Winthrop Shaw Pittman LLP  
Intellectual Property Group  
P.O. Box 10500  
McLean, VA 22102

SUBSTITUTE FORM PTO 1050

Pat-1050 5/02

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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

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On the face of the patent, please replace paragraph (73) with the following paragraph.

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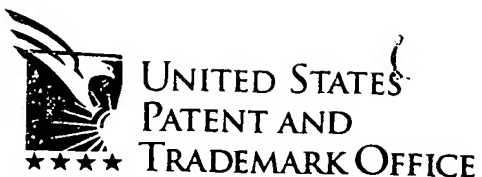
MAILING ADDRESS OF SENDER

PATENT NO. 6,808,914

Thomas A. Cawley, Jr., Ph.D.  
Reg. No. 40,944  
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P.O. Box 10500  
McLean, VA 22102

SUBSTITUTE FORM PTO 1050

Pat-1050 5/02



NOVEMBER 21, 2002

PILLSBURY WINTHROP, LLP  
RICHARD A. STEINBERG  
P.O. BOX 10500  
MCLEAN, VA 22102

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 2023  
www.uspto.gov



\*102215911A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/30/2002

REEL/FRAME: 013256/0892  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TILG, YVONNE

DOC DATE: 07/22/2002

ASSIGNOR:

EGGELING, LOTHAR

DOC DATE: 07/11/2002

ASSIGNOR:

MOCKEL, BETTINA

DOC DATE: 07/13/2002

ASSIGNOR:

EIKMANNS, BERNHARD

DOC DATE: 07/15/2002

ASSIGNOR:

SAHM, HERMANN

DOC DATE: 07/10/2002

ASSIGNEE:

DEGUSSA-HULS AKTIENGESELLSCHAFT  
60287 FRANKFURT AM MAIN  
FED REP  
GERMANY

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NOV 27 2002

By Oru

w/ Degussa - Huls  
Forschung 30

013256/0892 PAGE 2

ASSIGNEE:

FORSCHUNGSZENTRUM  
DE-52425, JULICH, FED REP GERMANY

SERIAL NUMBER: 10024370  
PATENT NUMBER:

FILING DATE: 12/21/2001  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

*recording  
assignment*

*w/ Regussa-Huls  
Forschungsze*



IFW ~~GET~~

PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 230

## Complete if Known

Application Number 10/024,370  
Filing Date December 21, 2001  
First Named Inventor YVONNE TILG  
Examiner Name  
Art Unit 1652  
Attorney Docket No. 021123-0284139

RECEIVED

JAN 10 2006

OFFICE OF PETITIONS

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):  
☒ Deposit Account Deposit Account Number: 033975 Deposit Account Name: PILLSBURY WINTHROP SHAW  
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  
☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fees(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims - 20 or HP = Extra Claims X Fee (\$ ) = Fee Paid (\$ )  
HP = highest number of total claims paid for, if greater than 20  
Indep. Claims - 3 or HP = Extra Claims X Fee (\$ ) = Fee Paid (\$ )  
HP = highest number of independent claims paid for, if greater than 3

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = Extra Sheets /50= Number of each additional 50 or fraction thereof x Fee (\$ ) = Fee Paid (\$ )  
(round up to a whole number) x 250.00 =

### 4. OTHER FEE(S)

Non-English Specification, 130 fee (no small entity discount)

Other: Certificate of Correction and Processing Fee pursuant to 37 CFR § 3.81(b)

Fee Paid (\$)

230.00

## SUBMITTED BY

Signature: Thomas A. Cawley, Jr., Ph.D. Registration No. (Attorney/Agent) 40944 Telephone 703.770.7944  
Name (Print/Type) Thomas A. Cawley, Jr., Ph.D. Date January 4, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.